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1 ***In situ* catalytic reforming of plastic pyrolysis vapors using MSW incineration ashes**

2 *Ashiq Ahamed^{a,b}, Lili Liang^{c,d}, Wei Ping Chan^a, Preston Choon Kiat Tan^{a,c}, Nicklaus Tze Xuan*
3 *Yip^c, Johan Bobacka^b, Andrei Veksha^a, Ke Yin^{a,e}, Grzegorz Lisak^{a,c,*}*

4 *^a Residues and Resource Reclamation Centre, Nanyang Environment and Water Research*
5 *Institute, Nanyang Technological University, 1 Cleantech Loop, CleanTech One, Singapore*
6 *637141 Singapore.*

7 *^b Laboratory of Molecular Science and Engineering, Johan Gadolin Process Chemistry Centre,*
8 *Åbo Akademi University, FI-20500 Turku, Finland.*

9 *^c School of Civil and Environmental Engineering, Nanyang Technological University, Singapore*
10 *639798, Singapore.*

11 *^d Interdisciplinary Graduate Program, Nanyang Technological University, 1 Cleantech Loop,*
12 *CleanTech One, Singapore 637141 Singapore.*

13 *^e Department of Environmental Engineering, College of Biology and the Environment, Nanjing*
14 *Forestry University, 159 Longpan Road, Nanjing 210037, China*

15 **Corresponding author: g.lisak@ntu.edu.sg (G.Lisak)*

16 **Abstract**

17 The valorization of municipal solid waste incineration bottom and fly ashes (IBA and IFA) as
18 catalysts for thermochemical plastic treatment was investigated. As-received, calcined, and Ni-
19 loaded ashes prepared via hydrothermal synthesis were used as low-cost waste-derived catalysts
20 for in-line upgrading of volatile products from plastic pyrolysis. It was found that both IBA and
21 air pollution control IFA (APC) promote selective production of BTEX compounds (i.e., benzene,
22 toluene, ethylbenzene, and xylenes) without significantly affecting the formation of other gaseous

23 and liquid species. There was insignificant change in the product distribution when electrostatic
24 precipitator IFA (ESP) was used, probably due to the lack of active catalytic species. Calcined
25 APC (C-APC) demonstrated further improvement in the BTEX yield that suggested the potential
26 to enhance the catalytic properties of ashes through pre-treatment. By comparing with the leaching
27 limit values stated in the European Council Decision 2003/33/EC for the acceptance of hazardous
28 waste at landfills, all the ashes applied remained in the same category after the calcination and
29 pyrolysis processes, except the leaching of Cl⁻ from the ESP, which was around the borderline.
30 Therefore, the use of ashes in catalytic reforming application do not significantly deteriorate their
31 metal leaching behavior. Considering its high catalytic activity towards BTEX formation, C-APC
32 was loaded with Ni at 15 and 30 wt%. The Ni-loading favored an increase in overall oil yield,
33 while reducing the gas yield when compared to the benchmark Ni loaded ZSM catalyst. However,
34 Ni addition also caused the formation of more heavier hydrocarbons (C₂₀-C₃₅) that would require
35 post-treatment to recover favorable products like BTEX.

Capsule: Application of MSW incineration ashes in catalytic reforming of plastic pyrolysis
vapor promoted selective BTEX yield without altering the leaching behavior of the ashes.

36
37 **Keywords:** Pyrolysis, catalytic reforming, plastic, IBA, IFA.

Nomenclature:

APC	Air pollution control fly ash
BET	Brunauer–Emmett–Teller
BTEX	Benzene, Toluene, Ethylbenzene, p- and o- xylene
C-APC	Calcined air pollution control fly ash
C-ESP	Calcined electrostatic precipitator fly ash

C-IBA	Calcined incineration bottom ash
DI	Deionized
ESP	Electrostatic precipitator fly ash
FESEM-EDS	Field emission scanning electron microscopy with energy dispersed spectroscopy
GC-MS	Gas chromatography - mass spectrometry
HDPE	High density polyethylene
HZSM-5	Protonated type of Zeolite Socony Mobil-5
IBA	Incineration bottom ash
ICP-MS	Inductively coupled plasma - mass spectrometry
ICP-OES	Inductively coupled plasma - optical emission spectrometry
IFA	Incineration fly ash
LDPE	Low density polyethylene
LOI	Loss on ignition
MSW	Municipal solid waste
PE	Polyethylene
PP	Polypropylene
PTFE	Polytetrafluoroethylene
R-APC	Raw air pollution control fly ash
R-ESP	Raw electrostatic precipitator fly ash
R-IBA	Raw incineration bottom ash
XRD	X-ray diffraction
ZSM-5	Zeolite Socony Mobil-5

15Ni-APC	15 wt% Nickel loaded C-APC
15Ni-ZSM	15 wt% Nickel loaded ZSM-5
30Ni-APC	30 wt% Nickel loaded C-APC

38

39 **1. Introduction**

40 Plastic pyrolysis is a promising technology for the treatment of mixed plastic waste. During
41 pyrolysis, plastic feedstock is thermally decomposed in the absence of oxygen into volatile organic
42 vapors, which are then condensed to produce pyrolysis oil and non-condensable gas products.
43 Catalytic reforming is an add-on process to plastic pyrolysis, where the pyrolysis vapors are
44 exposed to a bed of catalyst, thereby, reforming the product formation. Catalytic reforming of
45 pyrolysis vapors exhibits various advantages including, increased selectivity, superior product
46 yield, avoidance of undesirable products formation, and production of liquid products with a lower
47 boiling point (Saraçoğlu et al., 2017; Iliopoulou et al., 2012; Zheng et al., 2017). The catalysts
48 predominantly used in reforming application are of natural origin including zeolites, clay, and
49 silica-alumina (Auxilio et al., 2017; Wang & Wang, 2011; Miandad et al., 2016). Further, the
50 catalysts require periodical replacement due to the irreversible deactivation attributed to poisoning,
51 leaching, and sintering (Alekseeva (Bykova) et al., 2019), adding to the costs of the process and
52 its environmental burden.

53 The municipal solid waste (MSW) incineration process results in residual ashes commonly known
54 as incineration bottom and fly ashes (IBA and IFA, respectively). Incineration ashes are typically
55 landfilled as hazardous or non-hazardous wastes, depending on their pollutants leaching behavior.
56 In order to minimize the waste disposal, there is an ongoing research and development to valorize
57 ash usage in various applications including chemical looping combustion (Yin et al., 2021; Cudjoe

58 and Acquah, 2021), carbon capture (Viet et al., 2020), industrial wastewater treatment (Lei et al.,
59 2020), construction and land reclamation (Dou et al., 2017; Chan et al., 2018; Yin et al., 2018).
60 One of the promising areas of ash utilization is the development of catalysts. IBA generated from
61 the incineration of MSW was explored as a catalyst support in syngas production from biomass
62 (Ashok et al., 2018). The study demonstrated superior catalytic performance of Ni loaded IBA for
63 the conversion of toluene to gas products that was attributed to the presence of high amounts of
64 reducible surface Ni species and basicity of the support. Huang et al. (2016) reported superior
65 catalytic reforming activity of calcined MSW IBA for converting toluene to H₂ when compared to
66 thermal cracking. This was attributed to the presence of CaO, MgO, and Fe₂O₃ compounds. In
67 other studies, significant increase in gas product was reported for the catalytic reforming of
68 biomass pyrolysis vapor using coal, refuse derived fuel, and waste tire combustion ashes attributed
69 to the inherent metal content, and Ni impregnation on these ashes resulted in further improvement
70 (~20%) of H₂ yield (Al-Rahbi and Williams, 2019). Wang et al. (2014) investigated the catalytic
71 steam reforming of acetic acid and phenol using a 15 wt% Ni-loaded coal ash catalyst. Ni addition
72 improved the conversion rate from 57% and 26% to 98% and 83% for acetic acid and phenol,
73 respectively. These examples show that the incineration ashes have high potential to be applied in
74 catalytic upgrading of biomass-derived gases, maximizing the resource recovery from these wastes
75 (Al-Rahbi and Williams, 2019). In plastic pyrolysis application, coal fly ash derived amorphous
76 silica alumina catalysts fused with NaOH demonstrated comparable catalytic potential to
77 commercial catalysts by producing low boiling point oil products from low density polyethylene
78 (LDPE) pyrolysis (Na et al., 2006). Calcined coal power plant fly ash (800 °C, 5 h) produced
79 almost twice the benzene, toluene, ethylbenzene, and xylene contents in the waste polyethylene
80 (PE) pyrolysis when compared to thermal pyrolysis due to the high surface area and silica alumina

81 ratio leading to higher selectivity (Gaurh and Pramanik, 2018). While the studies discussed above
82 demonstrated the application of ashes from MSW as catalysts for reforming of biomass-derived
83 volatile compounds, their feasibility for pyrolysis oil upgrading from plastics has not been
84 investigated.

85 Plastic pyrolysis results in the formation of a complex mixture of hydrocarbons represented by
86 paraffins, olefins, naphthenes and aromatics. In order to obtain a superior pyrolysis oil quality,
87 catalytic pyrolysis and reforming studies focused on the improvement of the yield of BTEX
88 compounds (i.e. benzene, toluene, ethylbenzene, and xylenes) (Moogi et al., 2020; Wang et al.,
89 2020; Zheng et al., 2017; Jung et al., 2010). BTEX compounds are important and valuable products
90 to be recovered from the pyrolysis process that has been reported as the compounds of commercial
91 interest and representing the quality of the pyrolytic oil (Jung et al., 2010; Lopez et al., 2011;
92 Vichaphund et al., 2017). These compounds are widely used in the production of paints and
93 lacquers, adhesives, thinners, inks, rubber products, cosmetics, pharmaceuticals, and other
94 chemical and petrochemical industries (Shafaghat et al., 2018; Gaurh and Pramanik, 2018; Zhang
95 et al., 2019; Wang et al., 2020). Moreover, the lighter fraction hydrocarbons have higher volatility
96 that are suitable for commercial applications as combustion fuels.

97 The objective of this study was to investigate the application of MSW IBA and IFA as potential
98 low-cost catalysts for *in situ* catalytic reforming of the plastic pyrolysis vapors. The as-received,
99 calcined, and Ni-loaded catalysts were studied in order to evaluate the effect of Ni on product
100 distribution. The change in the leaching behavior of the metals from the ashes before and after the
101 pyrolysis experiments was assessed to study the effect of calcination and pyrolysis processes on
102 the stabilization or destabilization of the heavy metals. A mixture of polypropylene (PP), high
103 density polyethylene (HDPE), and LDPE was utilized as the feedstock in this study as these

104 plastics represent nearly 50% of all the plastics produced (PlasticsEurope, 2019), thereby forming
105 a significant fraction of the plastic waste stream. The impacts of applying various ashes on the
106 distribution of liquid and gaseous products are reported.

107 **2. Experimental section**

108 *2.1. Materials and chemicals*

109 Virgin plastic pellets (2-5 mm) used in the experiments, including PP, HDPE, and LDPE, were
110 purchased from Lotte Chemical Titan Pvt. Ltd., Malaysia. IFA samples including air pollution
111 control (APC) and electrostatic precipitator (ESP) residues were obtained from the Tuas
112 incineration plant, Singapore. The IBA was collected from the Senoko waste to energy incineration
113 plant, Singapore. All the ashes used were aged and have been previously applied in different
114 studies including Yin et al. (2020b; 2021), Chan et al., (2018), and Viet et al., (2020). The
115 chemicals used in the study, including hexane, nitric acid (65% w/w), nickel(II) nitrate, urea,
116 benzene, toluene, ethyl benzene, p-xylene, and o-xylene, were obtained from Sigma Aldrich.
117 Zeolite Socony Mobil-5 (ZSM-5) was purchased from Alfa Aesar.

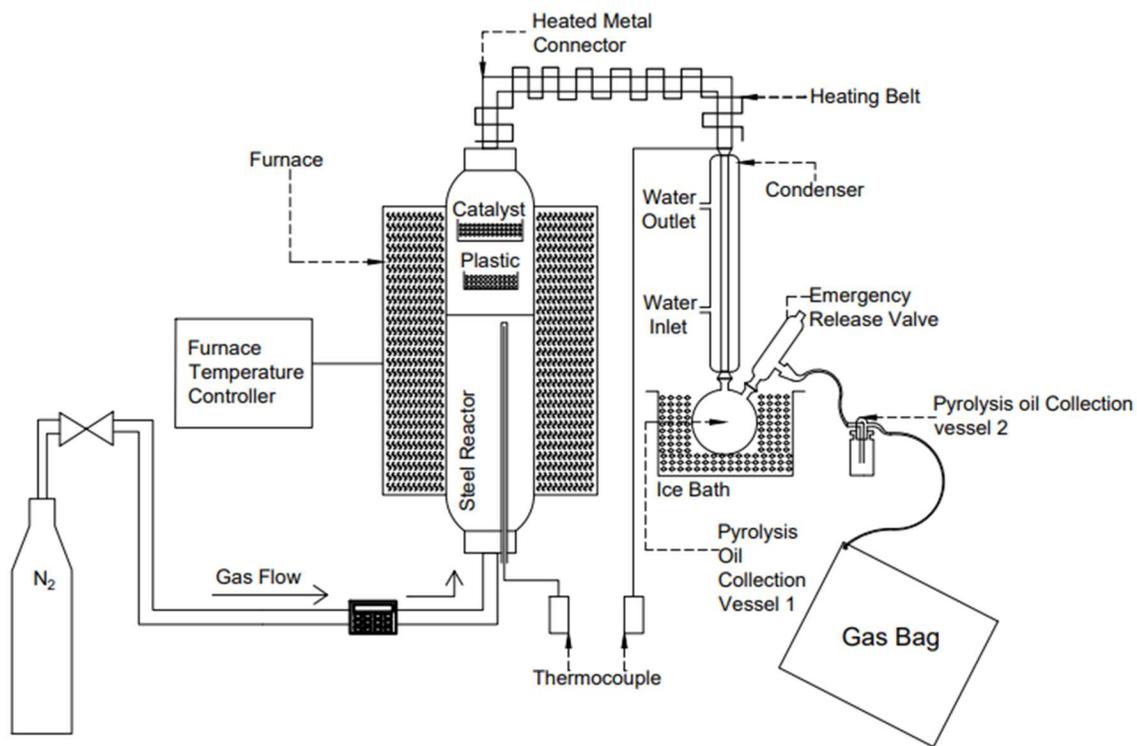
118 *2.2. Catalyst preparation*

119 Ash samples were homogenized and powdered using a ball mill (Retsch). The milled samples were
120 dried in a hot air oven (105 °C, 24 h) followed by calcination in a muffle furnace (550 °C, 2 h).
121 The dried and calcined ash samples were pelletized using a hydraulic press, subsequently crushed,
122 and sieved to a size range of 0.2-2 mm. The samples were denoted as raw (R-) and calcined (C-)
123 ash samples (R-IBA, C-IBA, R-APC, C-APC, R-ESP, and C-ESP). All the samples were stored at
124 60 °C to avoid moisture absorption. The Ni-loaded catalysts were prepared using nickel nitrate that
125 was dissolved in deionized (DI) water. C-APC and urea were then added to the solution with

126 continuous stirring to obtain a homogenous mixture. The mixture was transferred to a
127 hydrothermal reactor and heated at 120 °C for 8 h. Subsequently, the sample was dried at 60 °C
128 overnight, ground, calcined (550 °C, 2 h), and pelletized to the size fraction of 0.2-2 mm. The
129 similar procedure was performed to prepare Ni loaded on ZSM-5 used as a reference catalyst. The
130 catalysts were denoted as 15Ni-APC, 30Ni-APC, and 15Ni-ZSM, where 15 and 30 represent the
131 wt% of Ni loading.

132 *2.3. Plastic pyrolysis and catalytic reforming*

133 The laboratory-scale setup is shown in Figure 1. Plastics (25 g; 70% PP, 24% HDPE, and 6%
134 LDPE) were placed in a customized holder and the catalyst (25 g) was placed in a steel mesh on
135 top of the plastics holder located in a stainless steel batch reactor (inner diameter of 8.3 cm). Upon
136 heating, the primary pyrolysis vapors were reformed while passing through the catalyst bed. N₂
137 (50 mL/min) (99.995%, Leeden National Oxygen Ltd.) was used as the purge gas for 30 min prior
138 to the heating, the carrier gas, and an internal standard for quantitative gas analysis. In a typical
139 run, the reactor was heated to 500 °C (20 °C/min heating rate) and dwelled for 30 min. The
140 temperature was measured by a thermocouple inserted into the reactor. The mean temperature
141 profile of the experiments is provided in Figure S1. The oil product was collected in a round bottom
142 flask and the non-condensable gas was collected in a gas bag (E-Switch). The mass balance was
143 calculated by weighing the mass of retrieved oil product and calculating the mass of gas product
144 using a known volume of N₂ gas (internal standard). Pyrolysis experiments were conducted in
145 triplicates for all the ash catalysts used and the control study (i.e., experiments without catalyst).
146 The results are reported as mean values of three runs and experimental errors are given as standard
147 deviations. The catalysts were recovered at the end of experiments for subsequent leaching test.



148

149 Figure 1. Experimental set up of the plastics pyrolysis and catalytic reforming process.

150

151 *2.4. Metal leaching*

152 Leaching of metals from the ash catalysts was measured before and after the pyrolysis experiments
 153 following the EN 12457-1 standard. 4 g ash sample was mixed with 8 mL DI water at a liquid to
 154 solid ratio of 2 L/kg and the mixture was then continuously mixed in an end-over-end tumbler at
 155 30 rpm. After rotating for 24 h, the leachate was collected via vacuum filtration through a 0.45 µm
 156 filter paper, subsequently diluted and analyzed using inductively coupled plasma - optical emission
 157 spectrometry (ICP-OES, Perkin Elmer Optima 8300) and inductively coupled plasma - mass
 158 spectrometry (ICP-MS, Thermo Scientific iCAP Q) for metal contents. The detection limit of the
 159 current testing procedure for the elements leached from the ashes was calculated at 0.2 mg/kg.

160 2.5. Characterization and analytical techniques

161 Elemental composition of the plastic pellets was measured using CHNS elemental analyzer
162 (Elementar® Vario El Cube). The heating value of the oil product was measured using a bomb
163 calorimeter (IKA C2000 basic). The porosity of ash catalysts was characterized by using
164 Quantachrome Autosorb 1-C, in which the surface area was determined by the Brunauer–Emmett–
165 Teller (BET) method and the total pore volume and average pore diameter were calculated
166 according to the Barret, Joyner, and Halenda method. The X-ray diffraction (XRD) patterns of
167 catalysts were obtained using XRD Bruker D8. Catalyst morphology was characterized using field
168 emission scanning electron microscopy with energy dispersed spectroscopy (FESEM-EDS, JEOL
169 JSM-7600 F). A gas chromatograph coupled with two thermal conductivity detectors and one
170 flame ionization detector (Agilent 7890B) was used to quantify C1-C5 hydrocarbons. The
171 collected gas sample was injected into the gas chromatograph with front detector at 250 °C, split
172 ratio of 80:1, and He as carrier gas. The initial oven program temperature was at 60 °C for 1 min,
173 then increased to 80 °C at 20 °C/min, and further to 190 °C at 30 °C/min and held for 1.33 min
174 (total run time of 7 min). Gas chromatography - mass spectrometry (GC-MS, Agilent 7890B -
175 5977A MSD) was used for the quantitative and qualitative analysis of the oil product. He was used
176 as the carrier gas at the flow rate of 1.2 ml/min and the sample components were separated using
177 Agilent 19091S-433 HP-5MS capillary column (30 m × 0.25 mm × 0.25 μm). 1 μL solution of the
178 oil sample dissolved in hexane was injected into the GC-MS with front inlet at 250 °C and split
179 ratio of 1:1. For the quantitative analysis, the initial oven program temperature was at 30 °C for 3
180 min, then increased to 50 °C at 5 °C/min (held for 2 min), and then ramped up to 300 °C at 20
181 °C/min (total analysis time of 21.5 min). The calibration (5-point calibration, range 0-20 ppm)
182 curve for the quantification of BTEX compounds showed good linearity with R2 values 0.998,

183 0.995, 0.989, 0.994, and 0.995 for benzene, toluene, ethylbenzene, p-xylene, and o-xylene,
184 respectively. Toluene-D8 (D 99.5%, Cambridge Isotope Laboratories, Inc) was used as the internal
185 standard. For the qualitative analysis, the initial oven program temperature was at 30 °C for 3.5
186 min, then increased to 45 °C at 5 °C/min (held for 2 min), then increased to 150 °C at 5 °C/min
187 (held for 2 min), further increased to 175 °C at 5 °C/min (held for 1 min), then ramped up to 220
188 °C at 20 °C/min (held for 1 min), then increased to 265 °C at 5 °C/min (held for 5 min), then
189 increased to 290 °C at 5 °C/min (held for 5 min) and finally ramped up to 320 °C at 20 °C/min
190 (held for 5 min) (total analysis time of 71.25 min). Qualitative analysis revealed the gasoline (C6-
191 C12), diesel (C13-C19), and heavier hydrocarbon (C20-C35) fractions of the oil product. Total
192 metal contents in ash samples were determined by dissolving 0.2 g ash samples in 65% w/w nitric
193 acid via microwave digestion at 200 °C for 30 min (Milestone Ethos one). The digested solution
194 was filtered with a syringe filter (PTFE, 0.45 µm). After subsequent dilution using DI water and
195 1.2% HNO₃, samples were analyzed by ICP-OES (quantification limit = 1 mg/L) and ICP-MS
196 (quantification limit = 1 µg/L). After considering the amount of solid samples applied and the
197 dilution required, the detection limit of the current testing procedure for the metal content in ashes
198 was quantified at 2 mg/kg.

199 **3. Results and Discussion**

200 *3.1. Catalytic activity of MSW incineration ashes*

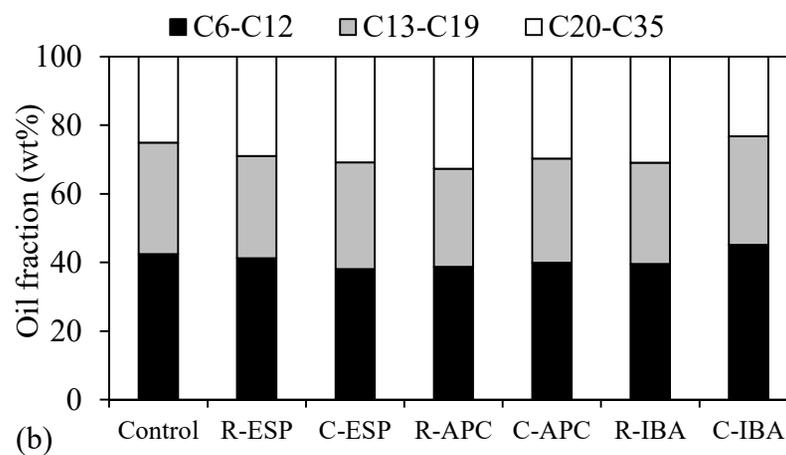
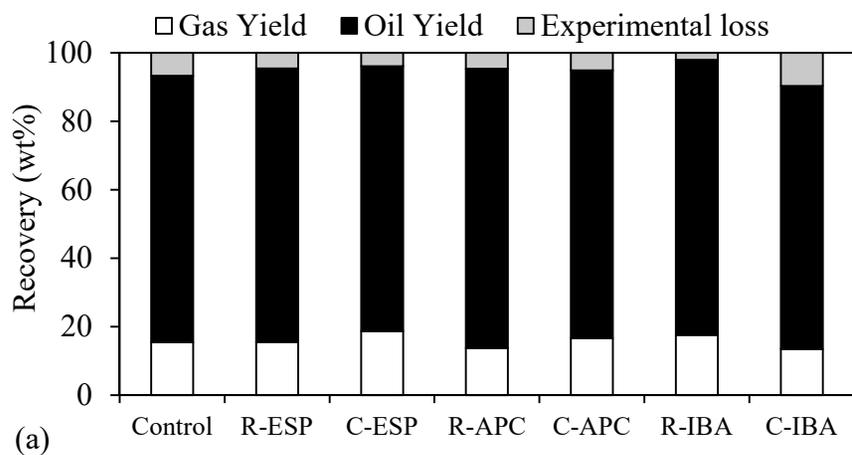
201 According to the CHNS analysis, the plastic mixture contained 86% C, 14% H and traces of N and
202 S (Table S1). The total metal contents of the ashes are reported in Table S3 and were consistent
203 for R-IBA, R-ESP, and R-APC with previous study (Table S2, Lindberg et al., 2015). Figure 2a
204 shows the metal concentrations in the ash samples. Ca, Fe, and Al were the most abundant elements
205 in R-IBA, while Ca, K, and Na had the highest concentrations in both R-ESP and R-APC samples.

206 Similar to the previous reports (Lam et al., 2010; Yin et al., 2020b), it was observed that the total
207 heavy metal content in R-ESP was higher than R-IBA and R-APC, and several metals in the R-
208 ESP sample (e.g., As, Cd, and Sb) displayed concentrations above 10 times higher than those in
209 R-IBA and R-APC. This phenomenon is likely due to metal vaporization during incineration
210 process as well as metal condensation and adsorption on the surface of fly ash particles (Li et al.,
211 2018). Among the three ashes, R-APC residues had the highest Ca content originating from the
212 addition of slaked lime into the flue gas scrubber for the neutralization of acidic components
213 (Astrup, 2008). In the XRD patterns of R-IBA and C-IBA, crystalline phases of CaCO_3 , CaSO_4 ,
214 and SiO_2 could be identified (Figure 2b). After calcination, SiO_2 peaks increased possibly due to
215 the formation of crystalline form from amorphous phases, while CaCO_3 decreased, possibly due
216 to the partial decomposition of the carbonate and release of CO_2 as supported by the loss on
217 ignition (LOI) observed (Table S4). The XRD patterns of R-ESP and C-ESP revealed the
218 crystalline forms of CaSO_4 , NaCl , and $\text{K}_2\text{Ca}(\text{SO}_4)_2 \cdot \text{H}_2\text{O}$. However, insignificant variation in the
219 peaks were observed except the strengthening of the $\sim 26^\circ$ CaSO_4 peak after calcination, indicating
220 that the mineral phases in ESP were stable during calcination. In the XRD patterns of R-APC and
221 C-APC, CaCO_3 , CaSO_4 , and CaClOH crystalline phases were observed. The peak of CaClOH
222 became weaker in C-APC while the peak of CaCO_3 increased after calcination that may be due to
223 the transformation from CaClOH into CaCO_3 via CO_2 reaction (Alfieri et al., 2012; Prigiobbe et
224 al., 2009). The ash samples are inherently complex and was found to be distinctly different from
225 each other. Hence, the variations in the crystalline phases observed via XRD patterns after
226 calcination can be attributed to multiple different reactions, which include the concentration of the
227 compounds due to the loss of organic fractions, reformation from amorphous to crystalline forms,

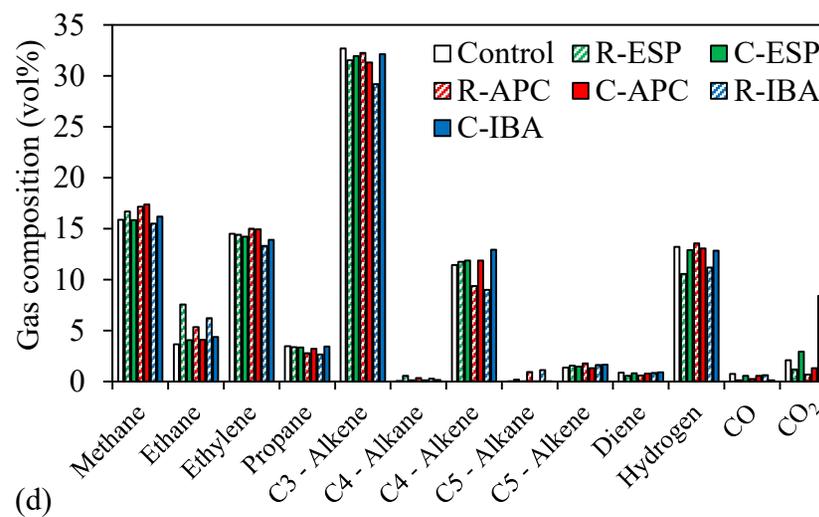
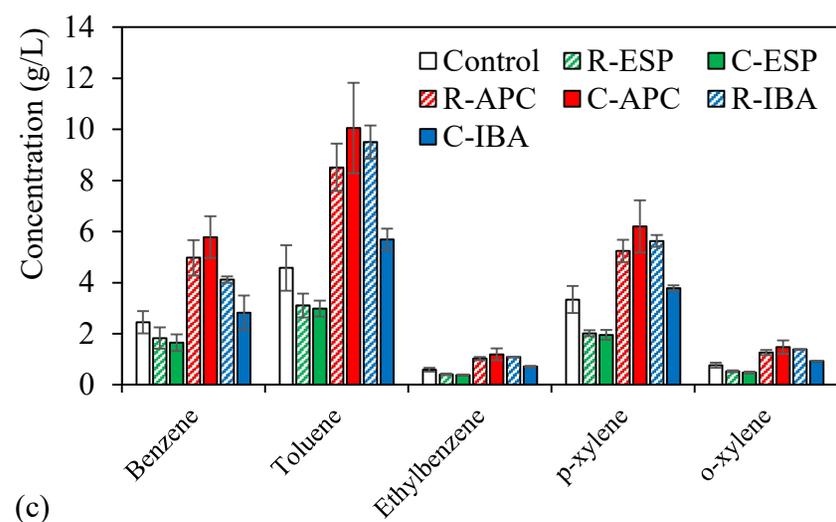
232 Figure 2. (a) Total metal content in R-IBA, R-ESP and R-APC and (b) XRD patterns of the ash
233 catalysts: 1 – CaCO₃, 2 – CaSO₄, 3 – CaClOH, 4 – NiO, 5 – SiO₂, 6 – NaCl, 7 –
234 K₂Ca(SO₄)₂·H₂O.

235 Fig. 3a shows products after in situ catalytic reforming. The oil yield ranged between 76 and 82%,
236 while the gas yield ranged between 13 and 19%. These results were similar to the yields obtained
237 in the control experiment (without the catalyst), suggesting the overall product yield was not
238 affected by the application of the catalysts. No char and ash formation were observed in the
239 pyrolysis experiments due to the usage of virgin polyolefinic plastics, as found in previous studies
240 (Williams & Slaney, 2007; Anene et al., 2018). The mass balance was over 90% for all
241 experiments. The standard deviations were calculated as 4.04, 2.17, 1.64, 3.93, 5.52, 6.86, and
242 5.70% for control, R-IBA, C-IBA, R-ESP, C-ESP, R-APC, and C-APC catalysts, respectively.
243 There was little variation in calorific value and density among oil samples. The average higher
244 heating value of the pyrolysis oil was 41.7 ± 1.2 MJ/kg, while the density was 0.80 ± 0.03 kg/m³.

245



246



247 Figure 3. (a) Product yields, (b) qualitative and (c) quantitative assessment results of the pyrolysis oil, and (d)
 248 pyrolysis gas after *in situ* catalytic reforming.

249 The oil product was characterized qualitatively and quantitatively to determine the fractions of the
250 hydrocarbons. Qualitative analysis revealed the three fractions of hydrocarbons (Veksha et al.,
251 2020), namely, gasoline-like (C6-C12), diesel-like (C13-C19), and heavier hydrocarbon
252 compounds (C20-C35) in the oil product. For the control experiment, on an average 42, 32, and
253 25% of gasoline-like, diesel-like, and heavier hydrocarbons were observed. Overall, 38-45%
254 gasoline-like, 28-32% diesel-like, and 23-33% heavier hydrocarbon compounds were present in
255 the oil after catalytic reforming using the ash-based catalysts (Figure 3b). Insignificant variation
256 in the distribution of the three compound fractions was observed. After calcination, slight increase
257 was observed in the gasoline-like and diesel-like fractions of the C-IBA and C-APC, while the
258 contrary effect was observed in gasoline-like fraction produced over the C-ESP.

259 Quantitative analysis of BTEX compounds using GC-MS was conducted to assess the oil product
260 quality (Figure 3c). In comparison to the control experiment, APC and IBA (both raw and calcined)
261 samples resulted in higher concentrations of the BTEX compounds, while ESP resulted in lower
262 yields of these compounds. R-IBA and C-IBA demonstrated considerably increased BTEX yield
263 with R-IBA resulting in the formation of over twice the amount of toluene when compared to the
264 control experiments. According to the metal analysis of ash samples (Figure 2a), Fe is one of the
265 predominant metal species in IBA that may contribute to the catalytic property of IBA residues
266 (Persson et al., 2019; Li et al., 2017). It was reported that Fe-doped zeolite was capable of
267 enhancing monoaromatic hydrocarbons production while inhibiting polyaromatic hydrocarbons
268 formation (Persson et al., 2019). Another study using Fe-pillared clays over pyrolysis of plastic
269 mixture also reported satisfactory catalytic properties with high selectivity towards aromatic
270 compounds (Li et al., 2017). However, the BTEX yield of IBA reduced after calcination possibly
271 due to the crystalline phase transformation and sintering. Compared to the control experiment, the

272 R-APC produced twice the amount of benzene and 57-86% higher amounts of the other BTEX
273 compounds. The highest yield among all the catalysts was observed for the C-APC producing 136,
274 120, 102, 86, and 93% higher yield of benzene, toluene, ethylbenzene, p-xylene, and o-xylene,
275 respectively. Calcination was recognized to have positive effect on the BTEX yield of APC, while
276 IBA demonstrated the contrary outcome. This could be attributed to the inherent differences of
277 IBA and APC residues. IBA is the coarse residue that remained after the MSW incineration. The
278 pre-processing applied (crushed and milled) in this study, may expose the active components in
279 this ash (R-IBA), while subsequent calcination could cause transformation and sintering of these
280 components. In contrary, APC is an inherently fine particle residue generated mainly from the
281 slaked lime adsorbing the acidic gases from the flue gas and the particles captured by bag filter.
282 Therefore, the calcination may help to remove the impurities (as supported by LOI analysis) and
283 the conversion of amorphous phases to crystalline phases (as supported by the XRD results).
284 Though qualitative analysis indicated similar performance among the ashes, quantitative analysis
285 revealed the details that may merit the further development of these ashes as catalysts through
286 modification or upgrading.

287 The gas production results showed insignificant changes between the control experiments and with
288 the use of ash catalysts (Figure 3d). The raw catalysts showed slightly higher ethane yield when
289 compared to the control. The C3-alkenes, including propylene and methyl acetylene, were the
290 dominant among all the gas products. Methane, ethylene, C3-alkenes, C4-alkenes, and hydrogen
291 presented considerable quantities of the gas product. R-IBA showed significantly higher CO₂ due
292 to the possible decarbonation of the carbonates in R-IBA ash releasing CO₂ at elevated temperature
293 (as supported by the 7.4 wt% loss during the LOI analysis).

294 The obtained results confirm that among the three ash samples, APC (and especially, C-APC) has
295 the highest catalytic activity, which is likely attributed to the favorable reformation of its chemical
296 constituents. The major effect of APC as a catalyst is associated with the selective enhancement
297 of BTEX formation. Similarly, the application of calcined coal power plant fly ash produced 1.95
298 to 2.03 times the BTEX contents from waste PE pyrolysis, when compared to the thermal pyrolysis
299 (Gaurh and Pramanik, 2018).

300 *3.2. Metal leaching*

301 One of the major concerns associated with MSW incineration ashes is the leaching of heavy metals
302 into the environment upon disposal (Tucker et al., 2020; Molleda et al., 2020). Since the spent ash
303 catalysts will be disposed or reused in other applications after the loss of catalytic activity, it is
304 necessary to investigate the influence of catalytic reforming on the leaching behavior of heavy
305 metals. The leaching from IBA, APC, and ESP before and after the calcination, and after the
306 pyrolysis experiments was characterized. As shown in Figure 4, metal leaching in all the IBA
307 samples was much lower than that of APC and ESP residues. The trend is comparable to the total
308 metal contents in the three ash residues and is likely attributed to the limited solubility of their
309 metal-containing mineral phases (Yin et al., 2020a). In the case of IBA, the leaching behavior of
310 most elements was consistent before and after both the thermal treatments except for Cr, Mg, and
311 Mo. According to Figure 4a, Cr exhibited significantly increased leaching concentration after
312 calcination but dropped below detection limit after pyrolysis, indicating the facilitating effect of
313 calcination and inhibitory effect of pyrolysis on Cr leaching. The leaching potential of Mg
314 underwent a sharp reduction after both calcination and pyrolysis of R-IBA, revealing the
315 suppressive effect on Mg leachability after heating at elevated temperature of around 500-550 °C.
316 On the contrary, Mo showed increased concentration in leachate after both thermal treatments,

317 suggesting the enhancing effect of high temperature on Mo leaching. Besides, Fe and Al presented
318 low leaching concentrations, in contrast to their high inherent metal contents in IBA residues,
319 indicating the inhibitory effect of metal complexation and/or formation of sparingly soluble metal
320 salts in ash samples (Yin et al., 2020a). Further, by comparing to the European Council Decision
321 (2003/33/EC) for the acceptance of waste at landfills, all the IBA samples were classified under
322 the non-hazardous category and remained in the same category after the calcination and pyrolysis
323 processes.

324 According to Figure 4b, APC released a large amount of alkali metals (K and Na), alkaline earth
325 metal (Ca) as well as Cl^- and SO_4^{2-} anions during leaching, indicating the presence of hydrophilic
326 compounds (CaCl_2 , CaSO_4 , KCl , K_2SO_4 , NaCl , Na_2SO_4 , etc.). Most of the detected elements
327 (except Al, Fe, Mg, Mn, Mo, and Ti) in C-APC exhibited between 20 and 30% incremental
328 leaching as compared with R-APC that may be ascribed to the calcination process decomposing
329 insoluble metallic compounds and thus increasing the metal leachability. After both calcination
330 and pyrolysis of R-APC, Pb exhibited enhanced leaching potential with an increased rate of 78 (C-
331 APC before pyrolysis), 62 (R-APC after pyrolysis), and 73% (C-APC after pyrolysis), indicating
332 that Pb leachability in R-APC is promoted upon thermal treatment. Besides, after calcination, the
333 leaching potential of Fe and Al showed minor decrease (within 20%), while that of Mo and Ti
334 showed slightly higher reduction by 44 and 26%, respectively. After pyrolysis experiments,
335 leaching of most trace elements from APC only showed minor change, apart from enhanced
336 leaching of Ba and Pb from R-APC as well as Zn from C-APC. Overall, Mg and Mn showed lower
337 leachability, while the leaching potential of Pb and Zn increased after calcination and pyrolysis.
338 According to the 2003/33/EC, Pb and Cl^- from all the APC samples exceeded the hazardous
339 criteria. Hg from all the APC samples and Ba from R-APC after pyrolysis exceeded the non-

340 hazardous criteria. Nevertheless, the overall leaching behavior of the metals in all the APC samples
341 sustained in the same categories as the original sample (R-APC).

342 C-ESP showed reduced leachability (except for Cr, Mg, Mo, and Pb) after calcination (Figure 4c).

343 One possible cause is that the agglomerated particles formed during the calcination process were

344 less soluble in water (Iretskaya et al., 1999). After the pyrolysis reaction, the leaching of most

345 metals in both R-ESP and C-ESP was enhanced except for Pb, Cr, and Na. Specifically, Cr

346 presented enhanced leaching behavior after calcination but showed decreased leaching potential

347 after pyrolysis. It was deduced that Cr(III) may be oxidized to Cr(VI) during the calcination

348 process forming soluble compounds that were responsible for the increased Cr leachability (Zhu

349 et al., 2018). In contrast, the suppression of Cr leaching after pyrolysis may be due to the

350 complexation with copper and calcium that could immobilize Cr (Zhou et al., 2018). Further,

351 according to the 2003/33/EC, leaching of Cl^- slightly exceeded (or around the borderline) the

352 hazardous waste criteria in R-ESP (16873 ± 214 mg/kg) and C-ESP (17021 ± 203 mg/kg) samples

353 after the pyrolysis experiment. Mo in all the four ESP and Cr in C-ESP (before) samples exceeded

354 leaching limits of the non-hazardous criteria. Therefore, all the ESP samples were classified under

355 the non-hazardous category and remained in the same category after the calcination and pyrolysis

356 processes except for the Cl^- anions.

357 Overall, minimal changes to the metal leaching potentials were observed except for the reduced

358 leaching of Mg and increased leaching of Mo in IBA after both thermal processes; reduced

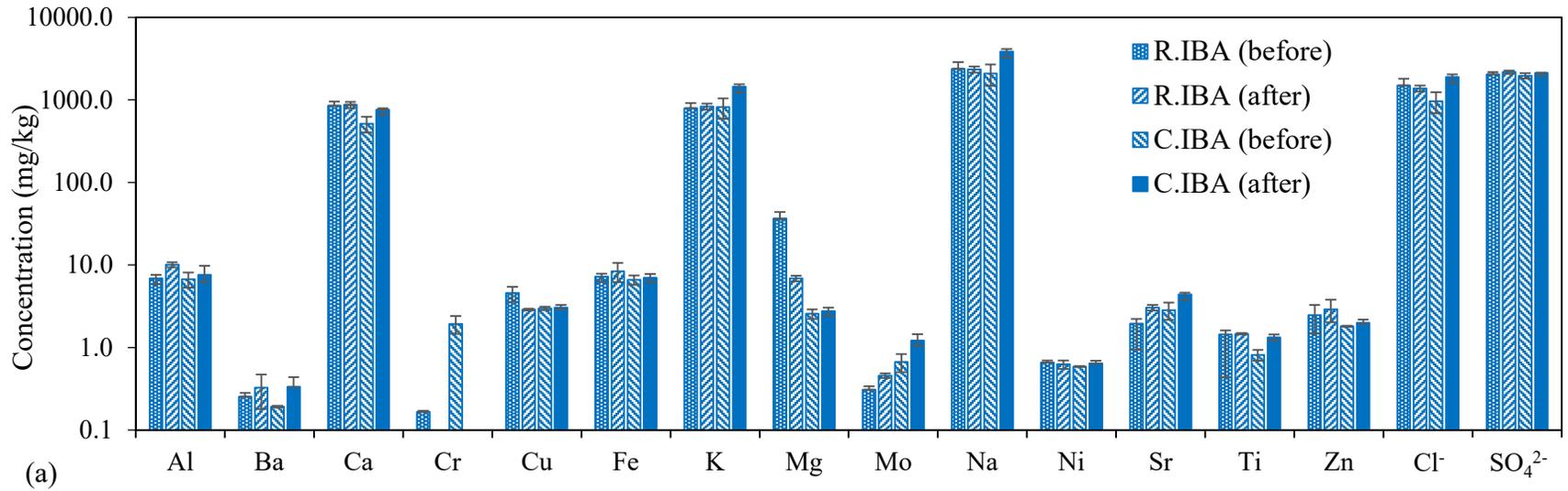
359 leaching of Mg and Mn, and increased leaching of Pb and Zn in APC after both thermal processes;

360 and enhanced leaching after calcination and reduced leaching after pyrolysis for Cr in ESP

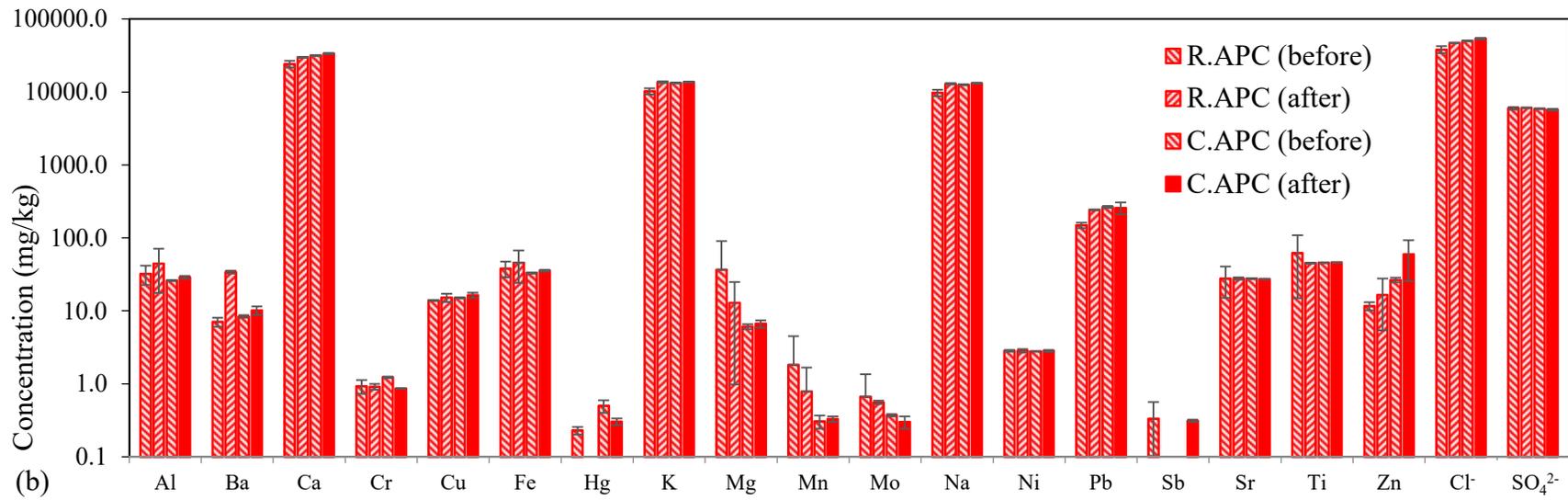
361 samples. Generally, the use of ashes in catalytic reforming application caused minimal

362 deterioration to their leaching behavior and all ashes remained in the same category as classified

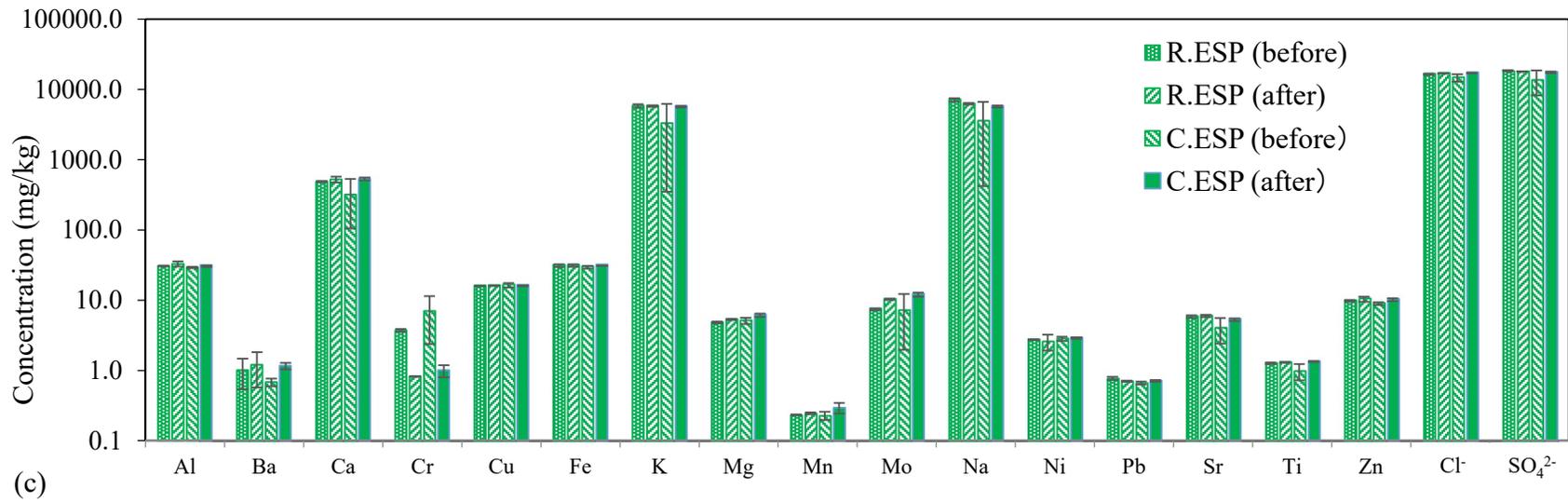
363 based on 2003/33/EC except Cl⁻ from the ESP, which can be addressed by a simple washing
364 process, if necessary. Nevertheless, as the ESP samples (with or without calcination) demonstrated
365 very low potential to be used as the catalysts for reforming plastic pyrolysis vapor, it is
366 recommended to avoid the pyrolytic treatment of this ash.



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Figure 4. Concentrations of leaching elements in (a) R-IBA and C-IBA, (b) R-APC and C-APC, and (c) R-ESP and C-ESP before and after pyrolysis experiments.

372 3.3. Effect of Ni loading on C-APC

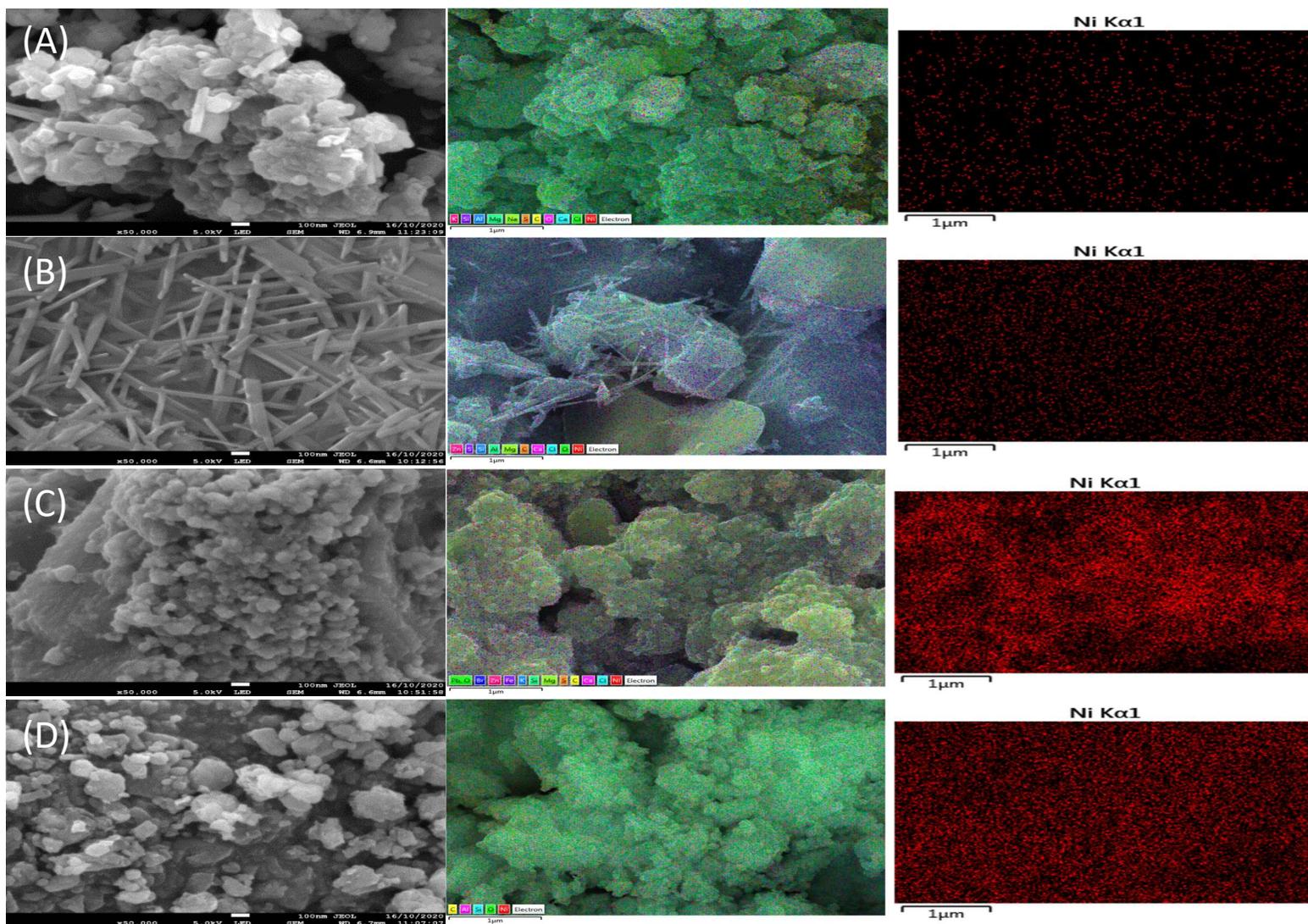
373 Owing to its superior catalytic activity compared to the other ash samples, C-APC was loaded with
374 Ni to further investigate the effect of using the ash on oil and gas production. The differences
375 between Ni supported on C-APC and ZSM were investigated and compared, as the ZSM based
376 catalysts are widely used for oil reforming (Miandad et al., 2016; Yao et al., 2018; Miskolczi et
377 al., 2019). BET results revealed that upon hydrothermal treatment and Ni loading of the C-APC
378 catalyst, the surface area, pore volume, and pore diameter increased (Table 1). However, the
379 porous characteristics of 15Ni-APC remained lower compared to the 15Ni-ZSM.

380 Table 1. Porous properties of C-APC, 15Ni-APC, and 15Ni-ZSM catalysts.

Catalyst	BET surface area (m ² /g)	Total pore volume (cm ³ /g)	Average pore diameter (nm)
C-APC	1.5	0.003	18.3
15Ni-APC	5.6	0.018	64.7
15Ni-ZSM	267	0.130	16.4

381
382 The XRD pattern of 15Ni-APC is shown in Figure 2b. NiO peaks were identified in 15Ni-APC
383 indicating the successful impregnation of nickel. The peak of CaClOH disappeared in 15Ni-APC,
384 while the peak of CaCO₃ strengthened showing the prevalence of the CaCO₃ crystalline phase.
385 FESEM-EDS analysis depicting the surface morphology and Ni content in R-APC, C-APC, 15Ni-
386 APC, and 15Ni-ZSM catalysts is shown in Figure 5 and Figure S3. The 15Ni-ZSM particle sizes
387 ranged between 200-500 nm, while the APC ash samples demonstrated greater heterogeneity

388 including the presence of agglomerated structures. The even distribution of Ni in 15Ni-APC and
389 15Ni-ZSM was confirmed by the FESEM-EDS mapping.



390

391 Figure 5. FESEM-EDS micrographs of the (A) R-APC, (B) C-APC, (C) 15Ni-APC, and (D) 15Ni-ZSM catalysts depicting the

392 crystalline structures and Ni distribution.

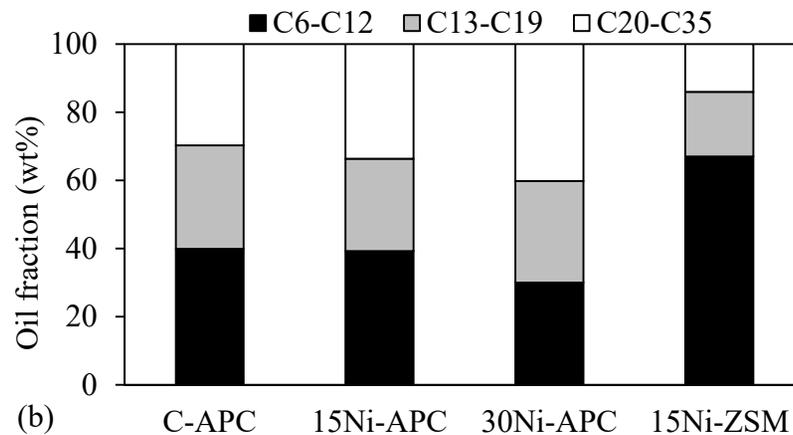
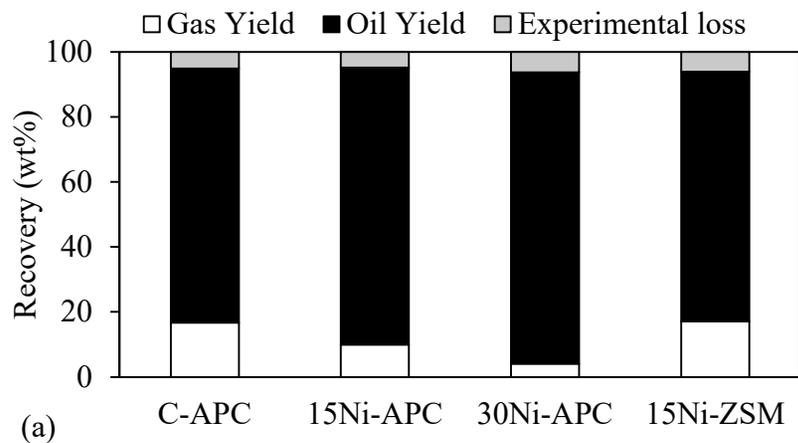
393 The use of Ni-APC catalysts resulted in significantly higher oil fraction (85-90%) and reduced gas
394 fraction (4-10%) when compared to 15Ni-ZSM (Oil: 77%; Gas: 17%) (Figure 6a). A similar effect
395 was observed with clay that was attributed to its mild acidity (Gobin & Manos, 2004). The decrease
396 in oil fraction of 15Ni-ZSM could be attributed to the overcracking of the primary pyrolysis
397 products by the zeolite because of its mesoporous structure with high BET surface and acidity (Seo
398 et al., 2003; Miandad et al., 2017; Sebestyén et al., 2017).

399 Increasing the Ni content of the Ni-APC from 15 to 30% resulted in a further increase in the heavier
400 hydrocarbon contents (Figure 6b), which is attributable to the increase in the pore size when
401 compared to C-APC. Among all the samples, the lowest gasoline-like (30%) and highest heavier
402 hydrocarbon (40%) fractions were observed for the 30Ni-APC catalyst. Similar observation was
403 reported by Lopez et al. (2011), who observed the higher amounts of heavier hydrocarbons
404 obtained for Al-MCM-41 catalysts as compared to HZSM-5 due to the large pore size and lower
405 acidity of Al-MCM-41. This could explain the significant increase of heavier hydrocarbon
406 fractions over Ni-APCs as well. On the other hand, 15Ni-ZSM demonstrated a significantly higher
407 gasoline-like fraction of 67%, while the diesel-like and heavier hydrocarbon fractions were 19 and
408 14%, respectively.

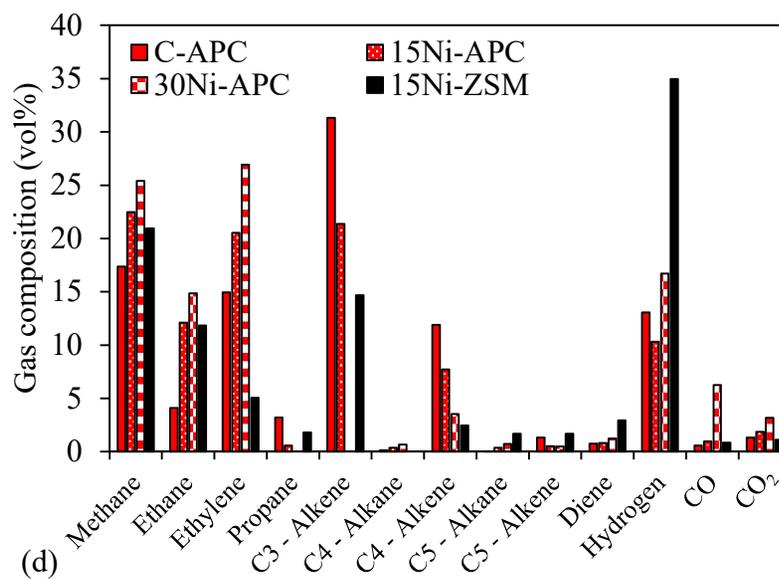
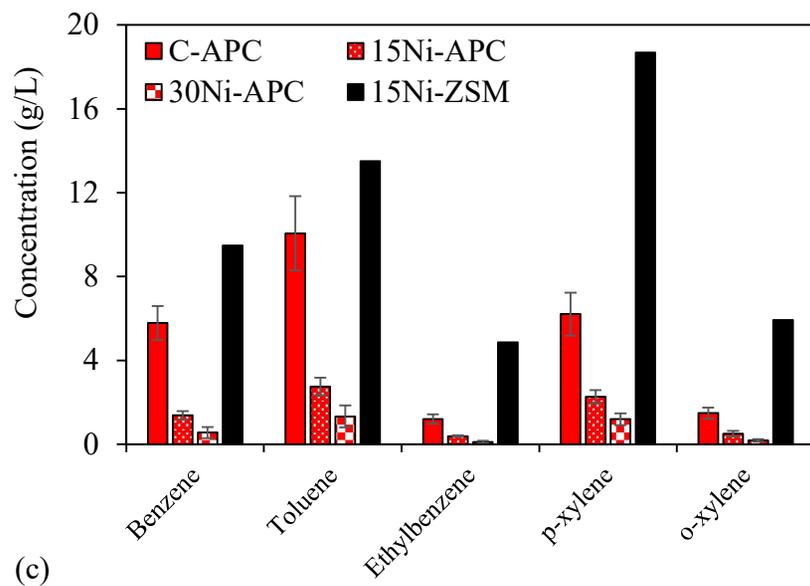
409 Ni-APCs and C-APC demonstrated inferior BTEX yield when compared to 15Ni-ZSM. The BTEX
410 formation of 15Ni-ZSM was 64, 34, 306, 201, and 301% greater for benzene, toluene,
411 ethylbenzene, p-xylene, and o-xylene compounds, respectively, when compared to C-APC (Figure
412 6c). The metal loading of the zeolites has been reported to increase the BTEX formation
413 (Iliopoulou et al., 2012; Vichaphund et al., 2017). The Ni-APCs yielded lower BTEX content than
414 the 15Ni-ZSM and C-APC, possibly due to the greater pore size of the Ni-APCs. This is because
415 the heavier hydrocarbon compounds are favored by the larger pores of Ni-APCs, while smaller

416 pore size favored lighter hydrocarbons when compared to the control (Figure S2). Therefore, it
417 can be concluded that the use of Ni loaded APC can promote the production of pyrolysis oil but
418 with increased heavier hydrocarbons and reduced BTEX in the oil.

419



420



421 Figure 6. (a) Product yields, (b) qualitative and (c) quantitative assessment results of the pyrolysis oil product, and
 422 the pyrolysis gas product in the *in situ* catalytic reforming of plastic pyrolysis vapors with various Ni loaded catalysts.

423 The compositions of the gas products for the Ni loaded catalyst samples and C-APC were found
424 to be different (Figure 6d). A significant increase of methane (29 and 46%), ethane (195 and
425 262%), and ethylene (37 and 80%) was observed for 15Ni-APC and 30Ni-APC, respectively, when
426 compared to the C-APC. Concurrently, C3-alkenes reduced by 32 and 100%, and C4-alkenes
427 reduced by 35 and 70% for 15Ni-APC and 30Ni-APC, respectively. Similarly, an increase of 21,
428 189, and 167% in methane, ethane, and hydrogen, respectively, was observed for the 15Ni-ZSM
429 when compared to the C-APC catalyst. On the other hand, a simultaneous reduction was observed
430 for ethylene (66%), C3- (53%), and C4-alkenes (79%) indicating the catalytic conversion of the
431 alkenes into shorter chain alkanes and hydrogen. The observed trend demonstrated the potential
432 influences of the Ni-loaded ash catalysts on the pyrolysis gas products. In summary, Ni loaded
433 APC showed greater yield of methane, ethane, and ethylene, but lower H₂ as compared to the Ni-
434 ZSM. The formation of short-chain gaseous hydrocarbons and hydrogen were favored by the Ni
435 loaded catalysts, while the simultaneous reduction of C3- and C4-alkenes were observed.

436 *3.4. Limitations and future research recommendations*

437 This study showed that APC and IBA are potentially useful for catalytic reforming of plastic
438 pyrolysis vapors for oil and gas production. The application of ash is appealing because of its
439 origin from a waste source. The reuse of waste resources for productive applications will contribute
440 towards circularity of resource use and achieving the sustainable development goal of 'responsible
441 consumption and production' set by the United Nations. Although the oil quality from ash is
442 inferior to Ni-ZSM, further post-treatment, including distillation or refining, can be applied to
443 facilitate the commercial application of the pyrolysis oil and gas. Some commercial applications
444 include, blending different fractions of pyrolysis oil with conventional diesel to operate diesel

445 engines (Nileshkumar et al., 2015; Panda et al., 2016) and separation of propylene and ethane from
446 pyrolysis gas to be used as a chemical feedstock for polyolefin production (Sharuddin et al., 2016).

447 There are few limitations in this study. Firstly, the plastics used for these experiments were virgin
448 plastics, which are generally cleaner, with no generation of char residue and acidic gases. Hence,
449 further research is required to evaluate the effects of mixed waste plastics on the ash catalysts when
450 compared to the commercial catalyst and establish the operating conditions, catalytic activity, and
451 product yields. Although this study demonstrated the positive effect of ash as a catalyst, it fails to
452 reflect how charring (or coking) can affect the catalytic and leaching performances of the ashes.
453 For instance, in the case of waste plastics, due to the presence of fillers in the plastic products the
454 residual ash content can be up to 26% of the initial weight (Ahamed et al., 2021) that can amplify
455 the charring. Coke deposition over the surface of the catalysts leading to the rapid deactivation of
456 the catalysts has been reported as a barrier to the effective usage of the commercial catalysts
457 (Moogi et al., 2020; Lee et al., 2016; Shafaghat et al., 2018). On the other hand, effects of coking
458 on the ashes may diminish their leaching potential. For instance, the biochar from sewage sludge
459 pyrolysis was reported to exhibit reduced leaching characteristics owing to the conversion of heavy
460 metals to a more stable form (Dou et al., 2017; Udayanga et al., 2018; 2019). In the case of using
461 incineration ash in catalytic pyrolysis, the coke deposition on the surface of the spent catalyst could
462 be beneficial as a stabilization method for the ashes and facilitate in the subsequent application in
463 land reclamation and construction sectors. This could potentially offset the need for waste
464 management (landfill) of the incineration ashes.

465 Secondly, when preparing the ash catalysts, the optimal pretreatment including size fractionation,
466 acid treatment, and calcination temperatures should be investigated. The relatively poor catalytic
467 selectivity of R-APC, C-APC, and Ni-APC may be correlated with their lower surface area and

468 higher average pore diameter when compared to Ni-ZSM (Table 1). An effective pretreatment will
469 be capable of removing the possible impurities and enhancing physicochemical properties of
470 catalysts with regard to the surface area and pore volume. For example, Gaurh and Pramanik
471 (2018) prepared coal power plant fly ash catalysts for waste PE pyrolysis using various calcination
472 temperatures. The fly ash calcined at 800 °C was found possessing the highest surface area, pore
473 volume, and Si/Al ratio, resulting in significantly improved oil quality with elevated BTEX
474 concentration. Nevertheless, it was also demonstrated that further increase of temperature might
475 lead to contradictory results such as much lower surface area and oil quality, indicating the
476 importance of selecting an optimized calcination temperature.

477 Thirdly, incorporation of other types of heteroatoms, especially metal species, into the pretreated
478 ash framework can be a feasible way to enhance the catalytic performance of ashes, owing to their
479 ability to increase acidic strength and amount of Lewis acid sites of catalysts (Aguado et al., 2008;
480 Li et al., 2017). For example, Ga impregnated ZSM-5 displayed superior selectivity towards
481 aromatic hydrocarbons owing to enriched Lewis acid sites and stronger acidic strength (Xin et al.,
482 2019). Besides, Co, Ni, Zn, and Fe modified HZSM-5 catalysts were also reported to exhibit
483 enhanced catalytic activity that contributed to higher oil yield and quality (Razzaq et al., 2019).
484 Hence, more metal modifications on ash catalysts could be implemented in subsequent studies for
485 the purpose of achieving desired catalytic activity.

486 In addition, catalyst/feedstock ratio (1/1 wt%) needs to be optimized in future studies. Studies with
487 zeolite-based catalyst have reported catalyst/feedstock ratio of 1/10 (Anene et al., 2018; Miandad
488 et al., 2017). It is important to note that a low ratio may lead to insufficient surface contact time
489 while a ratio exceeding the proper range with relatively longer contact time may accelerate coke
490 formation followed by the deactivation of the active sites (Kantarelis et al., 2014). Moreover, the

491 successful application of incineration ashes would yield a possible double benefit by serving the
492 plastic pyrolysis process and facilitating a possible treatment and beneficial application of the
493 incineration ashes.

494 Pyrolysis has various operational and environmental advantages including lower carbon footprint
495 over combustion and gasification (Al-Salem et al., 2017; Qureshi et al., 2020; Das et al., 2021).
496 However, the use of zeolite was reported to cause significant impact on the environment including
497 climate change and toxicity potentials and was concluded as one of the environmental hotspots of
498 the plastic pyrolysis process (Ahamed et al., 2020). Successful substitution of the zeolite with
499 incineration ash residue can alleviate the environmental footprint of the zeolite application in
500 pyrolysis processes. Hence, this research provides a novel option to further improve the
501 environmental footprint of the catalytic reforming of the plastic pyrolysis process. Further, this
502 research also provides avenue for diverting the incineration ashes from landfilling and reuse in
503 beneficial applications that encourages the concepts of circular economy and sustainable
504 development.

505 **4. Conclusion**

506 In conclusion, the use of MSW IBA and APC promoted selective production of BTEX compounds
507 for in-line upgrading of volatile plastic pyrolysis products when compared to the control
508 experiments, while ESP lowered BTEX formation. Calcination of APC (C-APC) further improved
509 the BTEX yield. Further, the influence of pyrolysis and calcination on the ash catalysts caused
510 minimal changes to the leaching of metals. Comparison of the leaching limit values stated in the
511 European Council Decision 2003/33/EC for the acceptance of hazardous waste at landfills
512 determined that all the ashes applied generally remained in the same category after the calcination
513 and pyrolysis processes, except for the leaching of Cl⁻ from the ESP. Therefore, the use of ashes

514 in catalytic reforming application do not significantly deteriorate their leaching behavior. In the
515 case of Ni-loaded catalysts, the Ni-APCs resulted in increase of the overall oil fraction and reduced
516 gas fraction when compared to the benchmark Ni-ZSM catalyst. However, lower gasoline-like
517 fraction and BTEX compounds were observed for Ni-APCs when compared to C-APC and Ni-
518 ZSM. In the case of gas products, the formation of short-chain hydrocarbons and hydrogen were
519 favored by the Ni loaded catalysts, while the simultaneous reduction of C3- and C4-alkenes was
520 observed. This study reports a preliminary investigation of the application of ash in catalytic
521 reforming and demonstrates its potential. Therefore, the development of the ash catalysts through
522 pre-treatments including size fractionation, acid treatment, refining, and modifications, and
523 subsequent optimization can be beneficial to realize the true potential in catalytic reforming of
524 plastic pyrolysis vapor. Additionally, coke deposition on the catalyst may be explored as an
525 immobilization method for the heavy metals in the ashes in the future studies. This study also
526 provides an avenue for testing ashes in various catalytic applications. Successful replacement of
527 the reliance on natural/synthetic catalysts with waste-derived catalyst developed from residual ash
528 could incur significant environmental and economic benefits.

529 **Declaration of competing interest**

530 The authors declare no competing interests.

531 **CRediT authorship contribution statement**

532 **Ashiq Ahamed:** Conceptualization; Methodology; Experimental investigation; Materials
533 characterization; Data analysis; Writing - original draft; Writing - review & editing. **Lili Liang:**
534 Experimental investigation; Materials characterization; Data analysis; Writing - original draft. **Wei**
535 **Ping Chan:** Methodology; Experimental investigation; Materials characterization; Data analysis;

536 Writing - review & editing. **Preston Choon Kiat Tan:** Experimental investigation; Materials
537 characterization. **Nicklaus Tze Xuan Yip:** Experimental investigation; Materials characterization.
538 **Andrei Veksha:** Methodology; Supervision; Writing - review & editing. **Ke Yin:**
539 Conceptualization; Writing - review & editing. **Johan Bobacka:** Writing - review & editing.
540 **Grzegorz Lisak:** Supervision; Conceptualization; Project administration; Funding acquisition;
541 Resources; Writing - review & editing.

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